


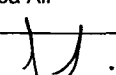
**UTILITY
PATENT APPLICATION
TRANSMITTAL**

Attorney Docket No. 11739/33

First Inventor Wei LIU, et al.

Title WATER-STABLE PHOTOLUMINESCENT
SEMICONDUCTOR NANOCRYSTAL COMPLEXES AND
METHOD OF MAKING SAME

(Only for new nonprovisional applications under 37 C.F.R. 1.53(b))

APPLICATION ELEMENTS		ADDRESS TO:	
See MPEP chapter 600 concerning utility patent application contents.		Assistant Commissioner for Patents Box Patent Application Washington, DC 20231	
<div>1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) <i>(Submit an original and a duplicate for fee processing)</i></div> <div>2. <input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.</div> <div>3. <input checked="" type="checkbox"/> Specification [Total Pages 17] <i>(preferred arrangement set forth below)</i><ul style="list-style-type: none">- Descriptive title of the Invention- Cross References to Related Applications- Statement Regarding Fed sponsored R & D- Reference to sequence listing, a table, or a computer program listing appendix- Background of the Invention- Brief Summary of the Invention- Brief Description of the Drawings (if filed)- Detailed Description- Claim(s)- Abstract of the Disclosure</div> <div>4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Sheets 5]</div> <div>5. Oath or Declaration [Total Pages]<ul style="list-style-type: none">a. <input checked="" type="checkbox"/> Newly executed (original or copy)b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63 (d)) <i>(for a continuation/divisional with Box 18 completed)</i>i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).</div> <div>6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76</div>		<div>7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)</div> <div>8. Nucleotide and/or Amino Acid Sequence Submission <i>(if applicable, all necessary)</i><ul style="list-style-type: none">a. <input type="checkbox"/> Computer Readable Form (CRF)b. Specification Sequence Listing on:<ul style="list-style-type: none">i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); orii. <input type="checkbox"/> paperc. <input type="checkbox"/> Statements verifying identity of above copies</div> <div style="border: 1px solid black; padding: 2px;">ACCOMPANYING APPLICATIONS PARTS</div> <div>9. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & document(s))</div> <div>10. <input type="checkbox"/> 37 C.F.R. §3.73(b) Statement <input type="checkbox"/> Power of Attorney <i>(when there is an assignee)</i></div> <div>11. <input type="checkbox"/> English Translation Document <i>(if applicable)</i></div> <div>12. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations</div> <div>13. <input type="checkbox"/> Preliminary Amendment</div> <div>14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <i>(Should be specifically itemized)</i></div> <div>15. <input type="checkbox"/> Certified Copy of Priority Document(s) <i>(if foreign priority is claimed)</i></div> <div>16. <input type="checkbox"/> Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.</div> <div>17. <input type="checkbox"/> Other:</div>	
<div>18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76: <input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP) of prior application No: _____ <input type="checkbox"/> Cross Reference to Related Application included in Preliminary Amendment Attached. Note: A cross reference to related application(s) must be filed with the USPTO before four months from the filing date of this continuing application. For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.</div>			
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Address			
City	State	Zip Code	
Country	Telephone	Fax	
Name (Print/Type)		Registration No. (Attorney/Agent)	
Zeba Ali		51,392	
Signature		Date	
		7-11-03	

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<h2 style="margin: 0;">FEE TRANSMITTAL</h2> <h3 style="margin: 0;">for FY 2003</h3> <p style="margin: 5px 0 0 40px;"><i>Patent fees are subject to annual revision.</i></p>		Complete if Known	
<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27		Application Number	UNASSIGNED
		Filing Date	HEREWITH
		First Named Inventor	Wei LIU, et al.
		Examiner Name	UNASSIGNED
		Group / Art Unit	UNASSIGNED
TOTAL AMOUNT OF PAYMENT (\$) 415.00		Attorney Docket No.	11739/33

METHOD OF PAYMENT (check all that apply)	FEE CALCULATION (continued)																																																																																																																																																	
<input type="checkbox"/> Check <input type="checkbox"/> Credit card <input type="checkbox"/> Money Order <input type="checkbox"/> Other <input type="checkbox"/> None <input checked="" type="checkbox"/> Deposit Account: <div style="margin-top: 10px;"> Deposit Account Number: 11-0600 Deposit Account Name: KENYON & KENYON </div> <p>The Commissioner is authorized to: (check all that apply) <input checked="" type="checkbox"/> Charge fee(s) indicated below <input checked="" type="checkbox"/> Credit any overpayments <input checked="" type="checkbox"/> Charge any additional fee(s) during the pendency of this application <input type="checkbox"/> Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account. </p>	<h4>3. ADDITIONAL FEES</h4> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th>Fee Code</th> <th>Large Entity (\$)</th> <th>Small Entity (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>1051</td><td>130</td><td>2051</td><td>65</td><td></td></tr> <tr><td>1052</td><td>50</td><td>2052</td><td>25</td><td></td></tr> <tr><td>1053</td><td>130</td><td>1053</td><td>130</td><td></td></tr> <tr><td>1812</td><td>2,520</td><td>1812</td><td>2,520</td><td></td></tr> <tr><td>1804</td><td>920*</td><td>1804</td><td>920*</td><td></td></tr> <tr><td>1805</td><td>1,840*</td><td>1805</td><td>1,840*</td><td></td></tr> <tr><td>1251</td><td>110</td><td>2251</td><td>55</td><td></td></tr> <tr><td>1252</td><td>400</td><td>2252</td><td>200</td><td></td></tr> <tr><td>1253</td><td>920</td><td>2253</td><td>460</td><td></td></tr> <tr><td>1254</td><td>1,440</td><td>2254</td><td>720</td><td></td></tr> <tr><td>1255</td><td>1,960</td><td>2255</td><td>980</td><td></td></tr> <tr><td>1401</td><td>320</td><td>2401</td><td>160</td><td></td></tr> <tr><td>1402</td><td>320</td><td>2402</td><td>160</td><td></td></tr> <tr><td>1403</td><td>280</td><td>2403</td><td>140</td><td></td></tr> <tr><td>1451</td><td>1,510</td><td>1451</td><td>1,510</td><td></td></tr> <tr><td>1452</td><td>110</td><td>2452</td><td>55</td><td></td></tr> <tr><td>1453</td><td>1,280</td><td>2453</td><td>640</td><td></td></tr> <tr><td>1501</td><td>1,280</td><td>2501</td><td>640</td><td></td></tr> <tr><td>1502</td><td>460</td><td>2502</td><td>230</td><td></td></tr> <tr><td>1503</td><td>620</td><td>2503</td><td>310</td><td></td></tr> <tr><td>1460</td><td>130</td><td>1460</td><td>130</td><td></td></tr> <tr><td>1807</td><td>50</td><td>1807</td><td>50</td><td></td></tr> <tr><td>1806</td><td>180</td><td>1806</td><td>180</td><td></td></tr> <tr><td>8021</td><td>40</td><td>8021</td><td>40</td><td>40</td></tr> <tr><td>1809</td><td>740</td><td>2809</td><td>370</td><td></td></tr> <tr><td>1810</td><td>740</td><td>2810</td><td>370</td><td></td></tr> <tr><td>1801</td><td>740</td><td>2801</td><td>370</td><td></td></tr> <tr><td>1802</td><td>900</td><td>1802</td><td>900</td><td></td></tr> </tbody> </table> <p>Other fee (specify) _____</p> <p>*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 40</p>	Fee Code	Large Entity (\$)	Small Entity (\$)	Fee Description	Fee Paid	1051	130	2051	65		1052	50	2052	25		1053	130	1053	130		1812	2,520	1812	2,520		1804	920*	1804	920*		1805	1,840*	1805	1,840*		1251	110	2251	55		1252	400	2252	200		1253	920	2253	460		1254	1,440	2254	720		1255	1,960	2255	980		1401	320	2401	160		1402	320	2402	160		1403	280	2403	140		1451	1,510	1451	1,510		1452	110	2452	55		1453	1,280	2453	640		1501	1,280	2501	640		1502	460	2502	230		1503	620	2503	310		1460	130	1460	130		1807	50	1807	50		1806	180	1806	180		8021	40	8021	40	40	1809	740	2809	370		1810	740	2810	370		1801	740	2801	370		1802	900	1802	900	
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SUBMITTED BY		Complete (if applicable)	
Name (Print/Type)	Zeba Ali	Registration No. Attorney/Agent	51,392
Signature		Telephone	202.220.4200
		Date	July 11, 2003

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